



Ulyx Advisory

REPEAT FINDING CLOSEOUT

For Maryland state agencies and higher-education units

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Close audit findings once — so they are not in the next report.

A third of the findings in recent Office of Legislative Audits reports are repeats, and about one in ten has appeared in two or more earlier audits. Ulyx Advisory works with agency management to find why a finding keeps coming back, redesign the control, put it into daily practice, and prove it works before the auditors return.

HOW IT WORKS

- 1. Diagnose.** Walk through the process with the people who run it, test a sample, and identify the root cause: design gap, staffing, system limits, or missing documentation.
- 2. Redesign.** Rewrite the control and the procedure behind it, with clear ownership, review steps, and evidence that an auditor can test. Aligned to COSO and the GAO Green Book.
- 3. Embed.** Train the staff who perform and review the control, and set up a simple tracker that shows each corrective action, its owner, and its evidence.
- 4. Verify.** Before the next audit, test the new control the way an auditor would and fix what the test turns up.

PACKAGES

Package	Scope	Timeline	Fixed price
Finding Diagnostic	One or two related findings: root cause, gap analysis, and a written corrective-action plan	3–4 weeks	\$9,500
Repeat Finding Closeout	Up to three related findings: all four steps, with procedures, training, and a closeout tracker	10–12 weeks	\$38,500
Audit Readiness Program	Four to six findings across units, plus a readiness test and a management report before the next OLA visit	5–6 months	From \$78,000

Every package is fixed-price with milestone payments, so the cost is known before work starts.

WHAT YOU RECEIVE

- Root-cause memo for each finding, written for management and the audit committee
- Revised procedures and control descriptions staff can follow and auditors can test
- Training session and sign-in records for the staff who own each control
- Corrective-action tracker with owners, dates, and evidence for each action
- Readiness test results and a closeout summary for your response to the auditors

START SMALL

We recommend starting with a **Finding Diagnostic** on your oldest repeat finding. The full diagnostic fee is credited toward a Closeout ordered within 60 days.

WHERE IT FITS

- Procurement and contract monitoring
- Payroll and timekeeping
- Federal fund draws and reconciliations
- Eligibility and program integrity
- Cash receipts and collections
- Oversight of local offices and vendors

EASY TO BUY

All three packages fit Maryland's small procurement limit of \$100,000 (COMAR 21.05.07).

Maryland CSB: Small Business Reserve and Preference eligible

Maryland VSBE: DVMF2026-308, counts toward VSBE goals

eMMA Vendor ID: SUP1108539

WHAT WE NEED FROM YOU

- A named point of contact
- The audit report and your agency response
- Access to the staff and records behind each finding

WHY ULYX

Principal-led by a retired U.S. Air Force officer with a legal, cybersecurity, and compliance background; IAPP CIPP/US and CIPM. We work for management, alongside your internal audit office and external auditors.

Ulyx Advisory does not perform audits or attest engagements and does not provide legal advice.